



Framework Convention on Climate Change

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Conference of the Parties

Report of the Conference of the Parties on its sixteenth session, held in Cancun from 29 November to 10 December 2010

Addendum

Part Two: Action taken by the Conference of the Parties at its sixteenth session

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Decision 1/CP.16

The Cancun Agreements: Outcome of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention

The Conference of the Parties,

Recalling its decision 1/CP.13 (the Bali Action Plan) and decision 1/CP.15,

Seeking to secure progress in a balanced manner, with the understanding that, through this decision, not all aspects of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention are concluded, and that nothing in this decision shall prejudge prospects for, or the content of, a legally binding outcome in the future,

Reaffirming the commitment to enable the full, effective and sustained implementation of the Convention through long-term cooperative action, now, up to and beyond 2012, in order to achieve the ultimate objective of the Convention,

Recalling the principles, provisions and commitments set forth in the Convention, in particular its Articles 3 and 4,

Recognizing that climate change represents an urgent and potentially irreversible threat to human societies and the planet, and thus requires to be urgently addressed by all Parties,

Affirming the legitimate needs of developing country Parties for the achievement of sustained economic growth and the eradication of poverty, so as to be able to deal with climate change,

Noting resolution 10/4 of the United Nations Human Rights Council on human rights and climate change, which recognizes that the adverse effects of climate change have a range of direct and indirect implications for the effective enjoyment of human rights and that the effects of climate change will be felt most acutely by those segments of the population that are already vulnerable owing to geography, gender, age, indigenous or minority status, or disability,

I. A shared vision for long-term cooperative action

1. Affirms that climate change is one of the greatest challenges of our time and that all Parties share a vision for long-term cooperative action in order to achieve the objective of the Convention under its Article 2, including through the achievement of a global goal, on the basis of equity and in accordance with common but differentiated responsibilities and respective capabilities; this vision is to guide the policies and actions of all Parties, while taking into full consideration the different circumstances of Parties in accordance with the principles and provisions of the Convention; the vision addresses mitigation, adaptation, finance, technology development and transfer, and capacity-building in a balanced, integrated and comprehensive manner to enhance and achieve the full, effective and sustained implementation of the Convention, now, up to and beyond 2012;

2. Further affirms that:

- (a) Scaled-up overall mitigation efforts that allow for the achievement of desired stabilization levels are necessary, with developed country Parties showing leadership by undertaking ambitious emission reductions and providing technology, capacity-building and financial resources to developing country Parties, in accordance with the relevant provisions of the Convention;
- (b) Adaptation must be addressed with the same priority as mitigation and requires appropriate institutional arrangements to enhance adaptation action and support;
- (c) All Parties should cooperate, consistent with the principles of the Convention, through effective mechanisms, enhanced means and appropriate enabling environments, and enhance technology development and the transfer of technologies to developing country Parties to enable action on mitigation and adaptation;
- (d) Mobilization and provision of scaled-up, new, additional, adequate and predictable financial resources is necessary to address the adaptation and mitigation needs of developing countries;
- (e) Capacity-building is essential to enable developing country Parties to participate fully in, and to implement effectively, their commitments under the Convention; and that the goal is to enhance the capacity of developing country Parties in all areas;
- 3. Recognizes that warming of the climate system is unequivocal and that most of the observed increase in global average temperatures since the mid-twentieth century is very likely due to the observed increase in anthropogenic greenhouse gas concentrations, as assessed by the Intergovernmental Panel on Climate Change in its Fourth Assessment Report;
- 4. Further recognizes that deep cuts in global greenhouse gas emissions are required according to science, and as documented in the Fourth Assessment Report of the Intergovernmental Panel on Climate Change, with a view to reducing global greenhouse gas emissions so as to hold the increase in global average temperature below 2 °C above preindustrial levels, and that Parties should take urgent action to meet this long-term goal, consistent with science and on the basis of equity; also recognizes the need to consider, in the context of the first review, as referred to in paragraph 138 below, strengthening the long-term global goal on the basis of the best available scientific knowledge, including in relation to a global average temperature rise of 1.5 °C;
- 5. Agrees, in the context of the long-term goal and the ultimate objective of the Convention and the Bali Action Plan, to work towards identifying a global goal for substantially reducing global emissions by 2050, and to consider it at the seventeenth session of the Conference of the Parties;
- 6. Also agrees that Parties should cooperate in achieving the peaking of global and national greenhouse gas emissions as soon as possible, recognizing that the time frame for peaking will be longer in developing countries, and bearing in mind that social and economic development and poverty eradication are the first and overriding priorities of developing countries and that a low-carbon development strategy is indispensable to sustainable development; in this context, *further agrees* to work towards identifying a time frame for global peaking of greenhouse gas emissions based on the best available scientific knowledge and equitable access to sustainable development, and to consider it at the seventeenth session of the Conference of the Parties;
- 7. Recognizes the need to engage a broad range of stakeholders at the global, regional, national and local levels, be they government, including subnational and local government, private business or civil society, including youth and persons with disability, and that

gender equality and the effective participation of women and indigenous peoples are important for effective action on all aspects of climate change;

- 8. *Emphasizes* that Parties should, in all climate change related actions, fully respect human rights;
- 9. *Confirms* that Parties, especially developing country Parties that would have to bear a disproportionate or abnormal burden under the long-term cooperative action under the Convention, should be given full consideration;
- 10. Realizes that addressing climate change requires a paradigm shift towards building a low-carbon society that offers substantial opportunities and ensures continued high growth and sustainable development, based on innovative technologies and more sustainable production and consumption and lifestyles, while ensuring a just transition of the workforce that creates decent work and quality jobs;

II. Enhanced action on adaptation

- 11. Agrees that adaptation is a challenge faced by all Parties, and that enhanced action and international cooperation on adaptation is urgently required to enable and support the implementation of adaptation actions aimed at reducing vulnerability and building resilience in developing country Parties, taking into account the urgent and immediate needs of those developing countries that are particularly vulnerable;
- 12. Affirms that enhanced action on adaptation should be undertaken in accordance with the Convention, should follow a country-driven, gender-sensitive, participatory and fully transparent approach, taking into consideration vulnerable groups, communities and ecosystems, and should be based on and guided by the best available science and, as appropriate, traditional and indigenous knowledge, with a view to integrating adaptation into relevant social, economic and environmental policies and actions, where appropriate;
- 13. Decides to hereby establish the Cancun Adaptation Framework encompassing the provisions laid out below, with the objective of enhancing action on adaptation, including through international cooperation and coherent consideration of matters relating to adaptation under the Convention;
- 14. *Invites* all Parties to enhance action on adaptation under the Cancun Adaptation Framework, taking into account their common but differentiated responsibilities and respective capabilities, and specific national and regional development priorities, objectives and circumstances, by undertaking, inter alia, the following:
- (a) Planning, prioritizing and implementing adaptation actions, including projects and programmes, and actions identified in national and subnational adaptation plans and strategies, national adaptation programmes of action of the least developed countries, national communications, technology needs assessments and other relevant national planning documents;
- (b) Impact, vulnerability and adaptation assessments, including assessments of financial needs as well as economic, social and environmental evaluation of adaptation options;
- (c) Strengthening institutional capacities and enabling environments for adaptation, including for climate-resilient development and vulnerability reduction;

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¹ Including in the areas of water resources; health; agriculture and food security; infrastructure; socioeconomic activities; terrestrial, freshwater and marine ecosystems; and coastal zones.

- (d) Building resilience of socio-economic and ecological systems, including through economic diversification and sustainable management of natural resources;
- (e) Enhancing climate change related disaster risk reduction strategies, taking into consideration the Hyogo Framework for Action,² where appropriate, early warning systems, risk assessment and management, and sharing and transfer mechanisms such as insurance, at the local, national, subregional and regional levels, as appropriate;
- (f) Measures to enhance understanding, coordination and cooperation with regard to climate change induced displacement, migration and planned relocation, where appropriate, at the national, regional and international levels;
- (g) Research, development, demonstration, diffusion, deployment and transfer of technologies, practices and processes, and capacity-building for adaptation, with a view to promoting access to technologies, in particular in developing country Parties;
- (h) Strengthening data, information and knowledge systems, education and public awareness;
- (i) Improving climate-related research and systematic observation for climate data collection, archiving, analysis and modelling in order to provide decision makers at the national and regional levels with improved climate-related data and information;
- 15. Decides to hereby establish a process to enable least developed country Parties to formulate and implement national adaptation plans, building upon their experience in preparing and implementing national adaptation programmes of action, as a means of identifying medium- and long-term adaptation needs and developing and implementing strategies and programmes to address those needs;
- 16. *Invites* other developing country Parties to employ the modalities formulated to support the above-mentioned national adaptation plans in the elaboration of their planning effort referred to in paragraph 14 (a) above;
- 17. *Requests* the Subsidiary Body for Implementation to elaborate modalities and guidelines for the provisions of paragraphs 15 and 16 above, for adoption by the Conference of the Parties at its seventeenth session;
- 18. Requests developed country Parties to provide developing country Parties, taking into account the needs of those that are particularly vulnerable, with long-term, scaled-up, predictable, new and additional finance, technology and capacity-building, consistent with relevant provisions, to implement urgent, short-, medium- and long-term adaptation actions, plans, programmes and projects at the local, national, subregional and regional levels, in and across different economic and social sectors and ecosystems, as well as to undertake the activities referred to in paragraphs 14–16 above and paragraphs 30, 32 and 33 below;
- 19. *Acknowledges* the need to strengthen, enhance and better utilize existing institutional arrangements and expertise under the Convention;
- 20. *Decides* to hereby establish an Adaptation Committee to promote the implementation of enhanced action on adaptation in a coherent manner under the Convention, inter alia, through the following functions:
- (a) Providing technical support and guidance to the Parties, respecting the country-driven approach, with a view to facilitating the implementation of adaptation activities, including those listed in paragraphs 14 and 15 above, where appropriate;
- (b) Strengthening, consolidating and enhancing the sharing of relevant information, knowledge, experience and good practices, at the local, national, regional and

² <http://www.unisdr.org/eng/hfa/hfa.htm>.

international levels, taking into account, as appropriate, traditional knowledge and practices;

- (c) Promoting synergy and strengthening engagement with national, regional and international organizations, centres and networks, in order to enhance the implementation of adaptation actions, in particular in developing country Parties;
- (d) Providing information and recommendations, drawing on adaptation good practices, for consideration by the Conference of the Parties when providing guidance on means to incentivize the implementation of adaptation actions, including finance, technology and capacity-building and other ways to enable climate-resilient development and reduce vulnerability, including to the operating entities of the financial mechanism of the Convention, as appropriate;
- (e) Considering information communicated by Parties on their monitoring and review of adaptation actions, support provided and received, possible needs and gaps and other relevant information, including information communicated under the Convention, with a view to recommending what further actions may be required, as appropriate;
- 21. *Invites* Parties to submit to the secretariat, by 21 February 2011, views on the composition of, and modalities and procedures for, the Adaptation Committee, including on proposed linkages with other relevant institutional arrangements;
- 22. Requests the secretariat to compile these submissions into a miscellaneous document, to be made available by the fourteenth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention, and to prepare a synthesis report based on those submissions by the fourteenth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention;
- 23. Requests the Ad Hoc Working Group on Long-term Cooperative Action under the Convention, taking into account the above-mentioned submissions and synthesis report, to elaborate the composition of, and modalities and procedures for, the Adaptation Committee, for adoption by the Conference of the Parties at its seventeenth session;
- 24. Also requests the Ad Hoc Working Group on Long-term Cooperative Action under the Convention, in elaborating the above-mentioned modalities and procedures, to define, as appropriate, linkages with other relevant institutional arrangements under and outside the Convention, including at the national and regional levels;
- 25. *Recognizes* the need to strengthen international cooperation and expertise in order to understand and reduce loss and damage associated with the adverse effects of climate change, including impacts related to extreme weather events and slow onset events;³
- 26. Decides to hereby establish a work programme in order to consider, including through workshops and expert meetings, as appropriate, approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change;
- 27. *Requests* the Subsidiary Body for Implementation to agree on activities to be undertaken under the above-mentioned work programme;
- 28. *Invites* Parties and relevant organizations to submit to the secretariat, by 21 February 2011, views and information on what elements should be included in the work programme, including the following:

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Including sea level rise, increasing temperatures, ocean acidification, glacial retreat and related impacts, salinization, land and forest degradation, loss of biodiversity and desertification.

- (a) Possible development of a climate risk insurance facility to address impacts associated with severe weather events;
- (b) Options for risk management and reduction, risk sharing and transfer mechanisms such as insurance, including options for micro-insurance, and resilience-building, including through economic diversification;
- (c) Approaches for addressing rehabilitation measures associated with slow onset events;
 - (d) Engagement of stakeholders with relevant specialized expertise;
- 29. Requests the secretariat to compile these submissions into a miscellaneous document and to prepare a synthesis report based on those submissions, to be made available for consideration by the Subsidiary Body for Implementation at its thirty-fourth session, and with a view to making recommendations on loss and damage to the Conference of the Parties for its consideration at its eighteenth session;
- 30. *Invites* Parties to strengthen and, where necessary, establish regional centres and networks, in particular in developing countries, with support from developed country Parties and relevant organizations, as appropriate, and to facilitate and enhance national and regional adaptation actions, in a manner that is country-driven, encourages cooperation and coordination between regional stakeholders and improves the flow of information between the Convention process and national and regional activities;
- 31. *Notes* that an international centre to enhance adaptation research and coordination could also be established in a developing country;
- 32. *Invites* all Parties to strengthen and, where necessary, establish and/or designate national-level institutional arrangements, with a view to enhancing work on the full range of adaptation actions, from planning to implementation;
- 33. Decides that all Parties should use existing channels to provide information, as appropriate, on support provided and received for adaptation actions in developing countries and on activities undertaken, including, inter alia, progress made, experiences, lessons learned, and challenges and gaps in the delivery of support, with a view to ensuring transparency and accountability and encouraging best practices;
- 34. *Invites* relevant multilateral, international, regional and national organizations, the public and private sectors, civil society and other relevant stakeholders to undertake and support enhanced action on adaptation at all levels, including under the Cancun Adaptation Framework, as appropriate, in a coherent and integrated manner, building on synergies among activities and processes, and to make information available on the progress made;
- 35. *Requests* the secretariat to support the implementation of the Cancun Adaptation Framework, including related institutional arrangements under the Convention, in accordance with its mandate and subject to the availability of resources;

III. Enhanced action on mitigation

A. Nationally appropriate mitigation commitments or actions by developed country Parties

Emphasizing the need for deep cuts in global greenhouse gas emissions and early and urgent undertakings to accelerate and enhance the implementation of the Convention by all Parties, on the basis of equity and in accordance with their common but differentiated responsibilities and respective capabilities,

Acknowledging that the largest share of historical global emissions of greenhouse gases originated in developed countries and that, owing to this historical responsibility, developed country Parties must take the lead in combating climate change and the adverse effects thereof.

- 36. *Takes note* of quantified economy-wide emission reduction targets to be implemented by Parties included in Annex I to the Convention as communicated by them and contained in document FCCC/SB/2011/INF.1⁴ (to be issued);
- 37. *Urges* developed country Parties to increase the ambition of their economy-wide emission reduction targets, with a view to reducing their aggregate anthropogenic emissions of carbon dioxide and other greenhouse gases not controlled by the Montreal Protocol to a level consistent with the Fourth Assessment Report of the Intergovernmental Panel on Climate Change;
- 38. Requests the secretariat to organize workshops to clarify the assumptions and the conditions related to the attainment of these targets, including the use of carbon credits from the market-based mechanisms and land use, land-use change and forestry activities, and options and ways to increase their level of ambition;
- 39. Also requests the secretariat to prepare a technical paper based on Parties' submissions with the aim of facilitating understanding of the assumptions and conditions related to the attainment of their emission reduction targets and a comparison of the level of emission reduction efforts;
- 40. *Decides*, building on existing reporting and review guidelines, processes and experiences, to enhance reporting in the national communications of Parties included in Annex I to the Convention on mitigation targets and on the provision of financial, technological and capacity-building support to developing country Parties as follows:
- (a) Developed countries should submit annual greenhouse gas inventories and inventory reports and biennial reports on their progress in achieving emission reductions, including information on mitigation actions to achieve their quantified economy-wide emission targets and emission reductions achieved, projected emissions and the provision of financial, technology and capacity-building support to developing country Parties;
- (b) Developed countries shall submit supplementary information on the achievement of quantified economy-wide emission reductions;
- (c) Developed countries shall improve the reporting of information on the provision of financial, technology and capacity-building support to developing country Parties;
- 41. Also decides to enhance the guidelines for the reporting of information in national communications by Parties included in Annex I to the Convention, including the development of common reporting formats and methodology for finance, in order to ensure that information provided is complete, comparable, transparent and accurate;
- 42. *Further decides* to enhance guidelines for the review of information in national communications with respect to the following:
 - (a) Progress made in achieving emission reductions;
- (b) Provision of financial, technology and capacity-building support to developing country Parties;

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⁴ Parties' communications to the secretariat that are included in the information document are considered communications under the Convention.

- 43. *Decides* that developed countries should establish national arrangements for the estimation of anthropogenic emissions by sources and removals by sinks of all greenhouse gases not controlled by the Montreal Protocol;
- 44. Also decides to establish a process for international assessment of emissions and removals related to quantified economy-wide emission reduction targets under the Subsidiary Body for Implementation, taking into account national circumstances, in a rigorous, robust and transparent manner, with a view to promoting comparability and building confidence;
- 45. *Further decides* that developed countries should develop low-carbon development strategies or plans;
- 46. *Decides* on the following work programme for the development of modalities and guidelines described above, building on existing reporting and review guidelines, processes and experiences:
- (a) The revision of guidelines, as necessary, on the reporting of national communications, including the biennial report:
 - (i) The provision of financing, through enhanced common reporting formats, methodologies for finance and tracking of climate-related support;
 - (ii) Supplementary information on achievement of quantified economy-wide emission reduction targets;
 - (iii) Information on national inventory arrangements;
- (b) The revision of guidelines for the review of national communications, including the biennial report, annual greenhouse gas inventories and national inventory systems;
 - (c) The establishment of guidelines for national inventory arrangements;
- (d) Modalities and procedures for international assessment and review of emissions and removals related to quantified economy-wide emission reduction targets in accordance with paragraph 44 above, including the role of land use, land-use change and forestry, and carbon credits from market-based mechanisms, taking into account international experience;
- 47. *Invites* Parties to submit views on the items mentioned in paragraph 46 above, including with respect to the initial scheduling of the processes described in this section, by 28 March 2011;

B. Nationally appropriate mitigation actions by developing country Parties

Recognizing that developing country Parties are already contributing and will continue to contribute to a global mitigation effort in accordance with the principles and provisions of the Convention, and could enhance their mitigation actions, depending on provision of finance, technology and capacity-building support by developed country Parties,

Reaffirming that social and economic development and poverty eradication are the first and overriding priorities of developing country Parties, and that the share of global emissions originating in developing countries will grow to meet their social and development needs,

48. Agrees that developing country Parties will take nationally appropriate mitigation actions in the context of sustainable development, supported and enabled by technology,

financing and capacity-building, aimed at achieving a deviation in emissions relative to 'business as usual' emissions in 2020;

- 49. *Takes note* of nationally appropriate mitigation actions to be implemented by Parties not included in Annex I to the Convention as communicated by them and contained in document FCCC/AWGLCA/2011/INF.1⁵ (to be issued);
- 50. *Invites* developing countries that wish to voluntarily inform the Conference of the Parties of their intention to implement nationally appropriate mitigation actions in association with this decision to submit information on those actions to the secretariat;
- 51. Requests the secretariat to organize workshops to understand the diversity of mitigation actions submitted, underlying assumptions and any support needed for the implementation of these actions, noting different national circumstances and the respective capabilities of developing country Parties;
- 52. Decides that, in accordance with Article 4, paragraph 3, of the Convention, developed country Parties shall provide enhanced financial, technological and capacity-building support for the preparation and implementation of nationally appropriate mitigation actions of developing country Parties and for enhanced reporting by these Parties;
- 53. Also decides to set up a registry to record nationally appropriate mitigation actions seeking international support and to facilitate matching of finance, technology and capacity-building support for these actions;
- 54. *Invites* developing country Parties to submit to the secretariat information on nationally appropriate mitigation actions for which they are seeking support, along with estimated costs and emission reductions, and the anticipated time frame for implementation;
- 55. Also invites developed country Parties to submit to the secretariat information on support available and provided for nationally appropriate mitigation actions;
- 56. *Requests* the secretariat to record and regularly update in the registry the information provided by Parties on:
 - (a) Nationally appropriate mitigation actions seeking international support;
 - (b) Support available from developed country Parties for these actions;
 - (c) Support provided for nationally appropriate mitigation actions;
- 57. Agrees to develop modalities for the facilitation of support through the registry referred to in paragraph 53 above, including any functional relationship with the financial mechanism;
- 58. *Decides* to recognize nationally appropriate mitigation actions of developing countries in a separate section of the registry;
- 59. *Requests* the secretariat to record, and regularly update, in a separate section of the registry, information submitted by Parties on the following:
 - (a) Mitigation actions contained in document FCCC/AWGLCA/2011/INF.1;
- (b) Additional mitigation actions submitted in association with paragraph 50 above;

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⁵ Parties' communications to the secretariat that are included in the information document are considered communications under the Convention.

- (c) Once support has been provided, internationally supported mitigation actions and associated support;
- 60. Decides to enhance reporting in national communications, including inventories, from Parties not included in Annex I to the Convention on mitigation actions and their effects, and support received, with additional flexibility to be given to the least developed country Parties and small island developing States:
- (a) The content and frequency of national communications from Parties not included in Annex I to the Convention will not be more onerous than that for Parties included in Annex I to the Convention;
- (b) Parties not included in Annex I to the Convention should submit their national communications to the Conference of the Parties, in accordance with Article 12, paragraph 1, of the Convention, every four years or in accordance with any further decisions on frequency by the Conference of the Parties, taking into account a differentiated timetable and the prompt provision of financial resources to cover the agreed full costs incurred by Parties not included in Annex I to the Convention in preparing their national communications;
- (c) Developing countries, consistent with their capabilities and the level of support provided for reporting, should also submit biennial update reports containing updates of national greenhouse gas inventories, including a national inventory report and information on mitigation actions, needs and support received;
- 61. Also decides that internationally supported mitigation actions will be measured, reported and verified domestically and will be subject to international measurement, reporting and verification in accordance with guidelines to be developed under the Convention;
- 62. Further decides that domestically supported mitigation actions will be measured, reported and verified domestically in accordance with general guidelines to be developed under the Convention;
- 63. Decides to conduct international consultations and analysis of biennial reports under the Subsidiary Body for Implementation, in a manner that is non-intrusive, non-punitive and respectful of national sovereignty; the international consultations and analysis will aim to increase transparency of mitigation actions and their effects, through analysis by technical experts in consultation with the Party concerned and through a facilitative sharing of views, and will result in a summary report;
- 64. Also decides that information considered should include the national greenhouse gas inventory report, information on mitigation actions, including a description, analysis of the impacts and associated methodologies and assumptions, progress in implementation and information on domestic measurement, reporting and verification, and support received; discussion about the appropriateness of such domestic policies and measures is not part of the process; discussions should be intended to provide transparency of information related to unsupported actions;
- 65. *Encourages* developing countries to develop low-carbon development strategies or plans in the context of sustainable development;
- 66. Agrees on a work programme for the development of modalities and guidelines for: facilitation of support to nationally appropriate mitigation actions through a registry; measurement, reporting and verification of supported actions and corresponding support; biennial reports as part of national communications from Parties not included in Annex I to the Convention; domestic verification of mitigation actions undertaken with domestic resources; and international consultations and analysis;

- 67. *Invites* Parties to submit views on the items mentioned in paragraph 66 above, including with respect to the initial scheduling of the processes described in this section, by 28 March 2011;
- C. Policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries

Affirming that, in the context of the provision of adequate and predictable support to developing country Parties, Parties should collectively aim to slow, halt and reverse forest cover and carbon loss, in accordance with national circumstances, consistent with the ultimate objective of the Convention, as stated in Article 2,

Also affirming the need to promote broad country participation in all phases described in paragraph 73 below, including through the provision of support that takes into account existing capacities,

- 68. *Encourages* all Parties to find effective ways to reduce the human pressure on forests that results in greenhouse gas emissions, including actions to address drivers of deforestation;
- 69. Affirms that the implementation of the activities referred to in paragraph 70 below should be carried out in accordance with appendix I to this decision, and that the safeguards referred to in paragraph 2 of appendix I to this decision should be promoted and supported;
- 70. *Encourages* developing country Parties to contribute to mitigation actions in the forest sector by undertaking the following activities, as deemed appropriate by each Party and in accordance with their respective capabilities and national circumstances:
 - (a) Reducing emissions from deforestation;
 - (b) Reducing emissions from forest degradation;
 - (c) Conservation of forest carbon stocks;
 - (d) Sustainable management of forests;
 - (e) Enhancement of forest carbon stocks;
- 71. Requests developing country Parties aiming to undertake the activities referred to in paragraph 70 above, in the context of the provision of adequate and predictable support, including financial resources and technical and technological support to developing country Parties, in accordance with national circumstances and respective capabilities, to develop the following elements:
 - (a) A national strategy or action plan;
- (b) A national forest reference emission level and/or forest reference level⁶ or, if appropriate, as an interim measure, subnational forest reference emission levels and/or forest reference levels, in accordance with national circumstances, and with provisions contained in decision 4/CP.15, and with any further elaboration of those provisions adopted by the Conference of the Parties;

In accordance with national circumstances, national forest reference emission levels and/or forest reference levels could be a combination of subnational forest reference emissions levels and/or forest reference levels.

- (c) A robust and transparent national forest monitoring system for the monitoring and reporting of the activities referred to in paragraph 70 above, with, if appropriate, subnational monitoring and reporting as an interim measure, in accordance with national circumstances, and with the provisions contained in decision 4/CP.15, and with any further elaboration of those provisions agreed by the Conference of the Parties;
- (d) A system for providing information on how the safeguards referred to in appendix I to this decision are being addressed and respected throughout the implementation of the activities referred to in paragraph 70 above, while respecting sovereignty;
- 72. Also requests developing country Parties, when developing and implementing their national strategies or action plans, to address, inter alia, the drivers of deforestation and forest degradation, land tenure issues, forest governance issues, gender considerations and the safeguards identified in paragraph 2 of appendix I to this decision, ensuring the full and effective participation of relevant stakeholders, inter alia indigenous peoples and local communities;
- 73. Decides that the activities undertaken by Parties referred to in paragraph 70 above should be implemented in phases, beginning with the development of national strategies or action plans, policies and measures, and capacity-building, followed by the implementation of national policies and measures and national strategies or action plans that could involve further capacity-building, technology development and transfer and results-based demonstration activities, and evolving into results-based actions that should be fully measured, reported and verified;
- 74. *Recognizes* that the implementation of the activities referred to in paragraph 70 above, including the choice of a starting phase as referred to in paragraph 73 above, depends on the specific national circumstances, capacities and capabilities of each developing country Party and the level of support received;
- 75. *Requests* the Subsidiary Body for Scientific and Technological Advice to develop a work programme on the matters referred to in appendix II to this decision;
- 76. Urges Parties, in particular developed country Parties, to support, through multilateral and bilateral channels, the development of national strategies or action plans, policies and measures and capacity-building, followed by the implementation of national policies and measures and national strategies or action plans that could involve further capacity-building, technology development and transfer and results-based demonstration activities, including consideration of the safeguards referred to in paragraph 2 of appendix I to this decision, taking into account the relevant provisions on finance including those relating to reporting on support;
- 77. Requests the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to explore financing options for the full implementation of the results-based actions⁸ referred to in paragraph 73 above and to report on progress made, including any recommendations for draft decisions on this matter, to the Conference of the Parties at its seventeenth session;
- 78. *Also requests* Parties to ensure coordination of the activities referred to in paragraph 70 above, including of the related support, particularly at the national level;

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Including monitoring and reporting of emissions displacement at the national level, if appropriate, and reporting on how displacement of emissions is being addressed, and on the means to integrate subnational monitoring systems into a national monitoring system.

⁸ These actions require national monitoring systems.

79. *Invites* relevant international organizations and stakeholders to contribute to the activities referred to in paragraphs 70 and 78 above;

D. Various approaches, including opportunities for using markets, to enhance the cost-effectiveness of, and to promote, mitigation actions, bearing in mind different circumstances of developed and developing countries

Acknowledging the need to maintain consistency with the principles of the Convention,

Emphasizing the importance of contributing to sustainable development, including through technology transfer and other co-benefits,

Recognizing the importance of enhancing sustainable lifestyles and patterns of production and consumption,

Aware of the need to provide incentives in support of low-emission development strategies,

- 80. *Decides* to consider the establishment, at the seventeenth session of the Conference of the Parties, of one or more market-based mechanisms to enhance the cost-effectiveness of, and to promote, mitigation actions, taking into account the following:
- (a) Ensuring voluntary participation of Parties, supported by the promotion of fair and equitable access for all Parties;
- (b) Complementing other means of support for nationally appropriate mitigation actions by developing country Parties;
 - (c) Stimulating mitigation across broad segments of the economy;
 - (d) Safeguarding environmental integrity;
- (e) Ensuring a net decrease and/or avoidance of global greenhouse gas emissions;
- (f) Assisting developed country Parties to meet part of their mitigation targets, while ensuring that the use of such a mechanism or mechanisms is supplemental to domestic mitigation efforts;
 - (g) Ensuring good governance and robust market functioning and regulation;
- 81. Requests the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to elaborate the mechanism or mechanisms referred to in paragraph 80 above, with a view to recommending a draft decision or decisions to the Conference of the Parties for consideration at its seventeenth session;
- 82. *Invites* Parties and accredited observer organizations to submit to the secretariat, by 21 February 2011, their views on the matters referred to in paragraph 81 above;
- 83. *Undertakes*, in developing and implementing the mechanism or mechanisms referred to in paragraph 80 above, to maintain and build upon existing mechanisms, including those established under the Kyoto Protocol;
- 84. *Decides* to consider the establishment, at the seventeenth session of the Conference of the Parties, of one or more non-market-based mechanisms to enhance the cost-effectiveness of, and to promote, mitigation actions;

- 85. Requests the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to elaborate the mechanism or mechanisms referred to in paragraph 84 above, with a view to recommending a draft decision or decisions to the Conference of the Parties for consideration at its seventeenth session;
- 86. *Invites* Parties and accredited observer organizations to submit to the secretariat, by 21 February 2011, their views on the matters referred to in paragraph 85 above;
- 87. Also invites Parties and accredited observer organizations to submit to the secretariat, by 21 February 2011, information on the evaluation of various approaches in enhancing the cost-effectiveness of, and promoting, mitigation actions, including activities implemented jointly under Article 4, paragraph 2(a), of the Convention and any other relevant activities, for synthesis by the secretariat;

E. Economic and social consequences of response measures

Reaffirming the importance of the objective of the Convention, and the relevant principles and provisions of the Convention related to economic and social consequences of response measures, in particular its Articles 2, 3 and 4,

Recognizing that the implementation of response measures to mitigate climate change taken by a Party may result in negative economic and social consequences for other Parties, and the need to take into consideration in the implementation of the commitments of the Convention the situation of Parties, particularly developing country Parties, with economies that are vulnerable to the adverse impact of the implementation of measures to respond to climate change, referred to in Article 4, paragraphs 8, 9 and 10, of the Convention,

Affirming that responses to climate change should be coordinated with social and economic development in an integrated manner, with a view to avoiding adverse impacts on the latter, taking fully into account the legitimate priority needs of developing country Parties for the achievement of sustained economic growth and the eradication of poverty, and the consequences for vulnerable groups, in particular women and children,

Recognizing the importance of avoiding or minimizing negative impacts of response measures on social and economic sectors, promoting a just transition of the workforce, the creation of decent work and quality jobs in accordance with nationally defined development priorities and strategies, and contributing to building new capacity for both production and service-related jobs in all sectors, promoting economic growth and sustainable development,

Taking note of relevant provisions of the United Nations Declaration on the Rights of Indigenous Peoples,

- 88. *Urges* Parties, in the implementation of measures to mitigate climate change, to take into consideration the economic and social impacts of response measures and the needs of Parties, in particular developing country Parties, impacted by response measures, consistent with relevant provisions of the Convention;
- 89. Also urges developed country Parties to strive to implement policies and measures to respond to climate change in such a way as to avoid negative social and economic consequences for developing country Parties, taking into account Article 3 of the Convention, and to assist these Parties to address such consequences by providing support, including financial resources, transfer of technology and capacity-building, in accordance with Article 4 of the Convention, to build up the resilience of societies and economies negatively affected by response measures;

- 90. Reaffirms that the Parties should cooperate to promote a supportive and open international economic system that would lead to sustainable economic growth and development in all Parties, particularly developing country Parties, thus enabling them better to address the problems of climate change; measures taken to combat climate change, including unilateral ones, should not constitute a means of arbitrary or unjustifiable discrimination or a disguised restriction on international trade;
- 91. Agrees that information relating to response measures should be considered in a structured manner in order to enhance the implementation of Article 4, paragraph 1(g) and (h), of the Convention, recognizing the needs of developing country Parties identified in Article 4, paragraphs 8, 9 and 10;
- 92. Decides that Parties should cooperate fully to enhance understanding of the economic and social consequences of response measures, taking into account the need for information from those affected, and evidence of actual impacts, and of both positive and negative effects; and *further decides* to consider how existing channels, such as national communications, including the possible submission of supplementary information, as considered by the Subsidiary Body for Implementation, could be improved and built upon;
- 93. Further decides to provide a forum on the impact of the implementation of response measures, and to that end requests the Chairs of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to convene such a forum at the thirty-fourth and thirty-fifth sessions of these bodies, with the objective of developing a work programme under the subsidiary bodies to address these impacts, with a view to adopting, at the seventeenth session of the Conference of the Parties, modalities for the operationalization of the work programme and a possible forum on response measures;
- 94. *Invites* Parties and relevant intergovernmental organizations to submit to the secretariat, by 28 March 2011, their views on the issues referred to in paragraph 93 above for consideration by the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation at their thirty-fourth sessions;

IV. Finance, technology and capacity-building

A. Finance

- 95. Takes note of the collective commitment by developed countries to provide new and additional resources, including forestry and investments through international institutions, approaching USD 30 billion for the period 2010–2012, with a balanced allocation between adaptation and mitigation; funding for adaptation will be prioritized for the most vulnerable developing countries, such as the least developed countries, small island developing States and Africa;
- 96. *Invites*, in order to enhance transparency, developed country Parties to submit to the secretariat for compilation into an information document, by May 2011, 2012 and 2013, information on the resources provided to fulfil the commitment referred to in paragraph 95 above, including ways in which developing country Parties access these resources;
- 97. *Decides* that, in accordance with the relevant provisions of the Convention, scaled-up, new and additional, predictable and adequate funding shall be provided to developing country Parties, taking into account the urgent and immediate needs of developing countries that are particularly vulnerable to the adverse effects of climate change;

- 98. *Recognizes* that developed country Parties commit, in the context of meaningful mitigation actions and transparency on implementation, to a goal of mobilizing jointly USD 100 billion per year by 2020 to address the needs of developing countries;
- 99. Agrees that, in accordance with paragraph 1(e) of the Bali Action Plan, funds provided to developing country Parties may come from a wide variety of sources, public and private, bilateral and multilateral, including alternative sources;
- 100. *Decides* that a significant share of new multilateral funding for adaptation should flow through the Green Climate Fund, referred to in paragraph 102 below;
- 101. *Takes note* of the relevant reports on the financing needs and options for the mobilization of resources to address the needs of developing country Parties with regard to climate change adaptation and mitigation, including the report of the High-level Advisory Group on Climate Change Financing;
- 102. Decides to establish a Green Climate Fund, to be designated as an operating entity of the financial mechanism of the Convention under Article 11, with arrangements to be concluded between the Conference of the Parties and the Green Climate Fund to ensure that it is accountable to and functions under the guidance of the Conference of the Parties, to support projects, programmes, policies and other activities in developing country Parties using thematic funding windows;
- 103. Also decides that the Fund shall be governed by a Board of 24 members, comprising an equal number of members from developing and developed country Parties; representation from developing country Parties shall include representatives of relevant United Nations regional groupings and representatives of small island developing States and the least developed countries; each Board member shall have an alternate member; with alternate members entitled to participate in the meetings of the board only through the principal member, without the right to vote, unless they are serving as the member; during the absence of the member from all or part of a meeting of the Board, his or her alternate shall serve as the member;
- 104. Further decides that the Green Climate Fund shall have a trustee; the trustee for the Green Climate Fund shall have the administrative competence to manage the financial assets of the Green Climate Fund, maintain appropriate financial records and prepare financial statements and other reports required by the Board of the Green Climate Fund, in accordance with internationally accepted fiduciary standards;
- 105. Decides that the trustee shall administer the assets of the Green Climate Fund only for the purpose of, and in accordance with, the relevant decisions of the Green Climate Fund Board; the trustee shall hold the assets of the Green Climate Fund separate and apart from the assets of the trustee, but may commingle them for administrative and investment purposes with other assets maintained by the trustee; and the trustee shall establish and maintain separate records and accounts to identify the assets of the Green Climate Fund;
- 106. *Decides* that the trustee shall be accountable to the Green Climate Fund Board for the performance of its fiduciary responsibilities;
- 107. *Invites* the World Bank to serve as the interim trustee for the Green Climate Fund, subject to a review three years after operationalization of the Fund;
- 108. Decides that the operation of the Fund shall be supported by an independent secretariat;
- 109. Also decides that the Green Climate Fund shall be designed by a Transitional Committee in accordance with the terms of reference contained in appendix III to this decision; the Transitional Committee shall have 40 members, with 15 members from developed country Parties and 25 members from developing country Parties as follows:

- (a) Seven members from Africa;
- (b) Seven members from Asia;
- (c) Seven members from Group of Latin America and the Caribbean;
- (d) Two members from small island developing States;
- (e) Two members from the least developed countries;
- 110. *Invites* the Executive Secretary of the secretariat, in consultation with the President of the Conference of the Parties, to convene the initial meeting of the Transitional Committee, with members having the necessary experience and skills, notably in the area of finance and climate change; the meetings of the Transitional Committee will be open to observers;
- 111. Requests the secretariat, in consultation with the President of the Conference of the Parties, to make arrangements enabling relevant United Nations agencies, international financial institutions and multilateral development banks, along with the secretariat and the Global Environment Facility, to second staff to support the work of the Transitional Committee for the design phase of the Green Climate Fund;
- 112. Decides to establish a Standing Committee under the Conference of the Parties to assist the Conference of the Parties in exercising its functions with respect to the financial mechanism of the Convention in terms of improving coherence and coordination in the delivery of climate change financing, rationalization of the financial mechanism, mobilization of financial resources and measurement, reporting and verification of support provided to developing country Parties; Parties agree to further define the roles and functions of this Standing Committee;

B. Technology development and transfer

Recalling the commitments under the Convention, in particular Article 4, paragraphs 1, 3, 5, 7, 8 and 9,

Confirming the importance of promoting and enhancing national and international cooperative action on the development and transfer of environmentally sound technologies to developing country Parties to support action on mitigation and adaptation now, up to and beyond 2012, in order to achieve the ultimate objective of the Convention,

Recognizing that an early and rapid reduction in emissions and the urgent need to adapt to the adverse impacts of climate change require large-scale diffusion and transfer of, or access to, environmentally sound technologies,

Stressing the need for effective mechanisms, enhanced means, appropriate enabling environments and the removal of obstacles to the scaling up of the development and transfer of technology to developing country Parties,

- 113. *Decides* that the objective of enhanced action on technology development and transfer is to support action on mitigation and adaptation in order to achieve the full implementation of the Convention;
- 114. *Also decides* that, in pursuit of this objective, technology needs must be nationally determined, based on national circumstances and priorities;
- 115. Further decides to accelerate action consistent with international obligations, at different stages of the technology cycle, including research and development, demonstration, deployment, diffusion and transfer of technology (hereinafter referred in

this decision as technology development and transfer) in support of action on mitigation and adaptation;

- 116. Encourages Parties, in the context of Article 4, paragraphs 1(c) and 5, of the Convention and consistent with their respective capabilities and national circumstances and priorities, to undertake domestic actions identified through country-driven approaches, to engage in bilateral and multilateral cooperative activities on technology development and transfer and to increase private and public research, development and demonstration in relation to technologies for mitigation and adaptation;
- 117. Decides to establish a Technology Mechanism to facilitate the implementation of actions for achieving the objective referred to in paragraphs 113–115 above, under the guidance of and accountable to the Conference of the Parties, which will consist of the following components:
- (a) A Technology Executive Committee, to undertake the functions contained in paragraph 121 below;
- (b) A Climate Technology Centre and Network, to undertake the functions contained in paragraph 123 below;
- 118. Also decides that the Technology Executive Committee and the Climate Technology Centre and Network, consistent with their respective functions, should facilitate the effective implementation of the Technology Mechanism, under the guidance of the Conference of the Parties;
- 119. Further decides that the Technology Executive Committee shall further implement the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention adopted by decision 4/CP.7 and enhanced by decision 3/CP.13;
- 120. *Decides* that priority areas that could be considered under the Convention may include:
- (a) Development and enhancement of the endogenous capacities and technologies of developing country Parties, including cooperative research, development and demonstration programmes;
- (b) Deployment and diffusion of environmentally sound technologies and know-how in developing country Parties;
- (c) Increased public and private investment in technology development, deployment, diffusion and transfer;
- (d) Deployment of soft and hard technologies for the implementation of adaptation and mitigation actions;
- (e) Improved climate change observation systems and related information management;
- (f) Strengthening of national systems of innovation and technology innovation centres;
- (g) Development and implementation of national technology plans for mitigation and adaptation;
- 121. Also decides that the functions of the Technology Executive Committee shall be to:
- (a) Provide an overview of technological needs and analysis of policy and technical issues related to the development and transfer of technologies for mitigation and adaptation;

- (b) Consider and recommend actions to promote technology development and transfer, in order to accelerate action on mitigation and adaptation;
- (c) Recommend guidance on policies and programme priorities related to technology development and transfer with special consideration given to the least developed country Parties;
- (d) Promote and facilitate collaboration on the development and transfer of technologies for mitigation and adaptation between governments, the private sector, non-profit organizations and academic and research communities;
- (e) Recommend actions to address the barriers to technology development and transfer in order to enable enhanced action on mitigation and adaptation;
- (f) Seek cooperation with relevant international technology initiatives, stakeholders and organizations, and promote coherence and cooperation across technology activities, including activities under and outside of the Convention;
- (g) Catalyse the development and use of technology road maps or action plans at the international, regional and national levels through cooperation between relevant stakeholders, particularly governments and relevant organizations or bodies, including the development of best practice guidelines as facilitative tools for action on mitigation and adaptation;
- 122. *Further decides* that the Technology Executive Committee shall have the mandate and composition as contained in appendix IV to this decision;
- 123. *Decides* that the Climate Technology Centre shall facilitate a network of national, regional, sectoral and international technology networks, organizations and initiatives with a view to engaging the participants of the Network effectively in the following functions:
 - (a) At the request of a developing country Party:
 - (i) Providing advice and support related to the identification of technology needs and the implementation of environmentally sound technologies, practices and processes;
 - (ii) Facilitating the provision of information, training and support for programmes to build or strengthen capacity of developing countries to identify technology options, make technology choices and operate, maintain and adapt technology;
 - (iii) Facilitating prompt action on the deployment of existing technology in developing country Parties based on identified needs;
- (b) Stimulating and encouraging, through collaboration with the private sector, public institutions, academia and research institutions, the development and transfer of existing and emerging environmentally sound technologies, as well as opportunities for North–South, South–South and triangular technology cooperation;
- (c) Facilitating a network of national, regional, sectoral and international technology centres, networks, organization and initiatives with a view to:
 - (i) Enhancing cooperation with national, regional and international technology centres and relevant national institutions;
 - (ii) Facilitating international partnerships among public and private stakeholders to accelerate the innovation and diffusion of environmentally sound technologies to developing country Parties;

- (iii) Providing, at the request of a developing country Party, in-country technical assistance and training to support identified technology actions in developing country Parties;
- (iv) Stimulating the establishment of twinning centre arrangements to promote North–South, South–South and triangular partnerships, with a view to encouraging cooperative research and development;
- (v) Identifying, disseminating and assisting with developing analytical tools, policies and best practices for country-driven planning to support the dissemination of environmentally sound technologies;
- (d) Performing other such activities as may be necessary to carry out its functions;
- 124. *Also decides* to terminate the mandate of the Expert Group on Technology Transfer at the conclusion of the sixteenth session of the Conference of the Parties;
- 125. Further decides that the Technology Executive Committee shall convene its first meeting as soon as practicable following the election of its members and shall elaborate its modalities and procedures taking into account the need to achieve coherence and maintain interactions with other relevant institutional arrangements under and outside of the Convention, for consideration by the Conference of the Parties at its seventeenth session;
- 126. Decides that the Technology Executive Committee and the Climate Technology Centre and Network shall report, on an interim basis⁹ and without prejudice to the relationship between the Technology Executive Committee and the Climate Technology Centre and Network as referred to in paragraph 128 (a) below to the Conference of the Parties, through the subsidiary bodies, on their respective activities and the performance of their respective functions;
- 127. Also decides that the Climate Technology Centre and Network and the Technology Executive Committee shall relate so as to promote coherence and synergy;

Work programme for the Ad Hoc Working Group on Long-term Cooperative Action under the Convention in 2011 on technology development and transfer

- 128. *Underlines* the importance of continued dialogue among Parties in 2011 through the Ad Hoc Working Group on Long-term Cooperative Action under the Convention, including on the following matters, with a view to the Conference of the Parties taking a decision at its seventeenth session, in order to make the Technology Mechanism fully operational in 2012:
- (a) The relationship between the Technology Executive Committee and the Climate Technology Centre and Network, and their reporting lines;
- (b) The governance structure of and terms of reference for the Climate Technology Centre and Network and how the Climate Technology Centre will relate to the Network, drawing upon the results of the workshop referred to in paragraph 129 below;
- (c) The procedure for calls for proposals and the criteria to be used to evaluate and select the host of the Climate Technology Centre and Network;
- (d) The potential links between the Technology Mechanism and the financial mechanism;
- (e) Consideration of additional functions for the Technology Executive Committee and the Climate Technology Centre and Network;

⁹ Until there is a decision on the issues contained in paragraph 128 (a) below.

129. *Requests* the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to convene an expert workshop, in conjunction with one of its sessions in 2011, on the matters contained in paragraph 128 above, drawing upon the preliminary work undertaken by the Expert Group on Technology Transfer, and to report on the results of this workshop at that session;

C. Capacity-building

Reaffirming that capacity-building is essential to enable developing country Parties to participate fully in addressing the challenges of climate change, and to implement effectively their commitments under the Convention,

Recalling the provisions related to capacity-building for developing country Parties contained in relevant decisions adopted by the Conference of the Parties, especially decision 2/CP.7,

Taking into account that the scope of capacity-building and related needs as contained in the annex to decision 2/CP.7 and the key factors identified in decision 2/CP.10 remain valid,

Acknowledging that capacity-building is cross-cutting in nature and an integral part of enhanced action on mitigation, adaptation, technology development and transfer, and access to financial resources,

Also acknowledging that, in addition, there may be specific capacity-building activities that require support to enable developing countries to undertake the enhanced implementation of the Convention,

Reaffirming that capacity-building should be a continuous, progressive and iterative process that is participatory, country-driven and consistent with national priorities and circumstances,

- 130. Decides that capacity-building support to developing country Parties should be enhanced with a view to strengthening endogenous capacities at the subnational, national or regional levels, as appropriate, taking into account gender aspects, to contribute to the achievement of the full, effective and sustained implementation of the Convention, by, inter alia:
- (a) Strengthening relevant institutions at various levels, including focal points and national coordinating bodies and organizations;
- (b) Strengthening networks for the generation, sharing and management of information and knowledge, including through North-South, South-South and triangular cooperation;
- (c) Strengthening climate change communication, education, training and public awareness at all levels;
- (d) Strengthening integrated approaches and the participation of various stakeholders in relevant social, economic and environmental policies and actions;
- (e) Supporting existing and emerging capacity-building needs identified in the areas of mitigation, adaptation, technology development and transfer, and access to financial resources;
- 131. Also decides that financial resources for enhanced action on capacity-building in developing country Parties should be provided by Parties included in Annex II to the Convention and other Parties in a position to do so through the current and any future

operating entities of the financial mechanism, as well as through various bilateral, regional and other multilateral channels, as appropriate;

- 132. *Encourages* developed country Parties to continue to report through their national communications, in accordance with the "Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications", on the support they have provided for capacity-building in developing country Parties;
- 133. *Invites* developed country Parties in a position to do so to provide information, through annual submissions to the secretariat and other appropriate channels, on the support they have provided for capacity-building in developing country Parties;
- 134. *Encourages* developing country Parties to continue to report through their national communications, in accordance with the "Guidelines for the preparation of national communications from Parties not included in Annex I to the Convention", on progress made in enhancing their capacity to address climate change, including on the use of the support received;
- 135. *Invites* developing country Parties in a position to do so to provide information, through annual submissions to the secretariat and other appropriate channels, on progress made in enhancing their capacity to address climate change, including on the use of the support received;
- 136. Requests the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to consider ways to further enhance the monitoring and review of the effectiveness of capacity-building, for consideration by the Conference of the Parties at its seventeenth session;
- 137. Also requests the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to further elaborate the modalities regarding institutional arrangements for capacity-building, for consideration by the Conference of the Parties at its seventeenth session;

V. Review

- 138. *Decides* to periodically review the adequacy of the long-term global goal referred to in paragraph 4 above, in the light of the ultimate objective of the Convention, and overall progress towards achieving it, in accordance with the relevant principles and provisions of the Convention;
- 139. Also decides that:
- (a) This review should be guided by the principles of equity, and common but differentiated responsibilities and respective capabilities and take into account, inter alia:
 - (i) The best available scientific knowledge, including the assessment reports of the Intergovernmental Panel on Climate Change;
 - (ii) Observed impacts of climate change;
 - (iii) An assessment of the overall aggregated effect of the steps taken by Parties in order to achieve the ultimate objective of the Convention;
 - (iv) Consideration of strengthening the long-term global goal, referencing various matters presented by the science, including in relation to temperature rises of 1.5 °C;
 - (b) The first review should start in 2013 and should be concluded by 2015;

- (c) The Conference of the Parties shall take appropriate action based on the review;
- 140. *Requests* the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to further define the scope of this review and develop its modalities, including the required inputs, with a view to their adoption by the Conference of the Parties at its seventeenth session;

VI. Other matters

Parties included in Annex I to the Convention undergoing the process of transition to a market economy

Recalling Article 4, paragraph 6, of the Convention and relevant decisions of the Conference of the Parties, especially decisions 3/CP.7 and 3/CP.13 relating to Parties included in Annex I to the Convention undergoing the process of transition to a market economy,

Noting that Parties included in Annex I to the Convention undergoing the process of transition to a market economy are not included in Annex II to the Convention and as such are not subject to the provisions of Article 4, paragraphs 3 and 4, of the Convention,

Recalling that Article 4, paragraph 6, of the Convention provides that a certain degree of flexibility shall be allowed by the Conference of the Parties to Parties included in Annex I to the Convention undergoing the process of transition to a market economy,

Taking note of the submissions from Parties contained in document FCCC/AWGLCA/2010/MISC.6/Add.2,

141. *Requests* the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to continue consideration of these issues with a view to promoting access by Parties included in Annex I to the Convention undergoing the process of transition to a market economy to technology, capacity-building and finance in order to enhance their ability to develop low-emission economies;

Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties

Recalling decision 26/CP.7 that amended the list in Annex II to the Convention by deleting the name of Turkey,

Recalling decision 26/CP.7 that invited Parties to recognize the special circumstances of Turkey, which place Turkey in a situation different from that of other Parties included in Annex I to the Convention,

Recognizing that Turkey is in a situation different from that of other Parties included in Annex I to the Convention,

Noting that Turkey is not included in Annex II to the Convention and as such is not subject to the commitments of Article 4, paragraphs 3–5, of the Convention and that Turkey is eligible for support under Article 4, paragraph 5, of the Convention,

Taking note of the submission from Turkey contained in document FCCC/AWGLCA/2010/MISC.8,

142. *Requests* the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to continue consideration of these issues with a view to promoting access by Turkey to finance, technology and capacity-building in order to enhance its ability to better implement the Convention;

VII. Extension of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention

- 143. *Decides* to extend the Ad Hoc Working Group on Long-term Cooperative Action under the Convention for one year, in order for it to continue its work with a view to carrying out the undertakings contained in this decision and present the results to the Conference of the Parties for consideration at its seventeenth session;
- 144. *Requests* the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to continue its work drawing on the documents under its consideration;
- 145. Also requests the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to continue discussing legal options with the aim of completing an agreed outcome based on decision 1/CP.13 (Bali Action Plan), the work done at the sixteenth session of the Conference of the Parties and proposals made by Parties under Article 17 of the Convention;
- 146. *Further requests* the secretariat to make the necessary arrangements in accordance with any guidance from the Bureau of the Conference of the Parties;
- 147. *Mandates* the host country of the next session of the Conference of the Parties to undertake inclusive and transparent consultations in order to facilitate the work towards the success of that session.

Appendix I

Guidance and safeguards for policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries

- 1. The activities referred to in paragraph 70 of this decision should:
- (a) Contribute to the achievement of the objective set out in Article 2 of the Convention;
- (b) Contribute to the fulfilment of the commitments set out in Article 4, paragraph 3, of the Convention;
 - (c) Be country-driven and be considered options available to Parties;
- (d) Be consistent with the objective of environmental integrity and take into account the multiple functions of forests and other ecosystems;
- (e) Be undertaken in accordance with national development priorities, objectives and circumstances and capabilities and should respect sovereignty;
 - (f) Be consistent with Parties' national sustainable development needs and goals;
- (g) Be implemented in the context of sustainable development and reducing poverty, while responding to climate change;
 - (h) Be consistent with the adaptation needs of the country;
- (i) Be supported by adequate and predictable financial and technology support, including support for capacity-building;
 - (j) Be results-based;
 - (k) Promote sustainable management of forests;
- 2. When undertaking the activities referred to in paragraph 70 of this decision, the following safeguards should be promoted and supported:
- (a) That actions complement or are consistent with the objectives of national forest programmes and relevant international conventions and agreements;
- (b) Transparent and effective national forest governance structures, taking into account national legislation and sovereignty;
- (c) Respect for the knowledge and rights of indigenous peoples and members of local communities, by taking into account relevant international obligations, national circumstances and laws, and noting that the United Nations General Assembly has adopted the United Nations Declaration on the Rights of Indigenous Peoples;
- (d) The full and effective participation of relevant stakeholders, in particular indigenous peoples and local communities, in the actions referred to in paragraphs 70 and 72 of this decision;
- (e) That actions are consistent with the conservation of natural forests and biological diversity, ensuring that the actions referred to in paragraph 70 of this decision are not used for the conversion of natural forests, but are instead used to incentivize the

protection and conservation of natural forests and their ecosystem services, and to enhance other social and environmental benefits;¹

- (f) Actions to address the risks of reversals;
- (g) Actions to reduce displacement of emissions.

Taking into account the need for sustainable livelihoods of indigenous peoples and local communities and their interdependence on forests in most countries, reflected in the United Nations Declaration on the Rights of Indigenous Peoples, as well as the International Mother Earth Day.

Appendix II

Work programme of the Subsidiary Body for Scientific and Technological Advice on policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries

In the development of its work programme, the Subsidiary Body for Scientific and Technological Advice is requested to:

- (a) Identify land use, land-use change and forestry activities in developing countries, in particular those that are linked to the drivers of deforestation and forest degradation, identify the associated methodological issues to estimate emissions and removals resulting from these activities, and assess the potential contribution of these activities to the mitigation of climate change, and report on the findings and outcomes of this work to the Conference of the Parties (COP) at its eighteenth session on the outcomes of the work referred to in this paragraph;
- (b) Develop modalities relating to paragraphs 71 (b) and (c) and guidance relating to paragraph 71 (d) of this decision, for consideration by the COP at its seventeenth session;
- (c) Develop, as necessary, modalities for measuring, reporting and verifying anthropogenic forest-related emissions by sources and removals by sinks, forest carbon stocks, and forest carbon stock and forest-area changes resulting from the implementation of the activities referred to in paragraph 70 of this decision, consistent with any guidance on measuring, reporting and verifying nationally appropriate mitigation actions by developing country Parties agreed by the COP, taking into account methodological guidance in accordance with decision 4/CP.15, for consideration by the COP at its seventeenth session.

Appendix III

Terms of reference for the design of the Green Climate Fund

- 1. The Transitional Committee shall develop and recommend to the Conference of the Parties for its approval at its seventeenth session operational documents that address, inter alia:
- (a) The legal and institutional arrangements for the establishment and operationalization of the Green Climate Fund;
- (b) The rules of procedure of the Green Climate Fund Board and other governance issues related to the Board;
- (c) Methods to manage the large scale of financial resources from a number of sources and deliver through a variety of financial instruments, funding windows and access modalities, including direct access, with the objective of achieving a balanced allocation between adaptation and mitigation;
 - (d) The financial instruments that the Fund can use to achieve its priorities;
- (e) Methods to enhance complementarity between the Fund's activities and those of other bilateral, regional and multilateral funding mechanisms and institutions;
- (f) The role of the Fund's secretariat and the procedure for selecting and/or establishing the secretariat;
- (g) A mechanism to ensure periodic independent evaluation of the Fund's performance;
- (h) Mechanisms to ensure financial accountability and to evaluate the performance of activities supported by the Fund, in order to ensure the application of environmental and social safeguards as well as internationally accepted fiduciary standards and sound financial management to the Fund's activities;
- (i) Mechanisms to ensure the provision of appropriate expert and technical advice, including from relevant thematic bodies established under the Convention;
 - (j) Mechanisms to ensure stakeholder input and participation.
- 2. In the conduct of its work, the Transitional Committee shall:
 - (a) Convene its first meeting by March 2011;
- (b) Encourage input from all Parties and from relevant international organizations and observers;
 - (c) Take into account the findings contained in relevant reports.

Appendix IV

Composition and mandate of the Technology Executive Committee

- 1. The Technology Executive Committee shall comprise 20 expert members, elected by the Conference of the Parties (COP), serving in their personal capacity and nominated by Parties with the aim of achieving a fair and balanced representation, as follows:
- (a) Nine members from Parties included in Annex I to the Convention (Annex I Parties);
- (b) Three members from each of the three regions of the Parties not included in Annex I to the Convention (non-Annex I Parties), namely Africa, Asia and the Pacific, and Latin America and the Caribbean, one member from a small island developing State and one member from a least developed country Party.
- 2. Decisions will be taken according to the rule of consensus.
- 3. Parties are encouraged to nominate senior experts to the Technology Executive Committee, with a view to achieving, within the membership, an appropriate balance of technical, legal, policy, social development and financial expertise relevant to the development and transfer of technology for adaptation and mitigation, taking into account the need to achieve gender balance in accordance with decision 36/CP.7.
- 4. Members shall serve for a term of two years and shall be eligible to serve a maximum of two consecutive terms of office. The following rules shall apply:
- (a) Half of the members shall be elected initially for a term of three years and half of the members shall be elected for a term of two years;
 - (b) Thereafter, the COP shall elect every year a member for a term of two years;
 - (c) The members shall remain in office until their successors are elected.
- 5. The Technology Executive Committee shall elect annually a chair and a vice-chair from among its members for a term of one year each, with one being a member from an Annex I Party and the other being a member from a non-Annex I Party. The positions of chair and vice-chair shall alternate annually between a member from an Annex I Party and a member from a non-Annex I Party.
- 6. If the chair is temporarily unable to fulfil the obligations of the office, the vice-chair shall serve as chair. In the absence of the chair and vice-chair at a particular meeting, any other member designated by the Technology Executive Committee shall temporarily serve as the chair of that meeting.
- 7. If the chair or vice-chair is unable to complete the term of office, the Technology Executive Committee shall elect a replacement to complete the term of office, taking into account paragraph 5 above.
- 8. If a member of the Technology Executive Committee resigns or is otherwise unable to complete the assigned term of office or to perform the functions of that office, the Technology Executive Committee may decide, bearing in mind the proximity of the next session of the COP, to appoint another member from the same constituency to replace said member for the remainder of that member's mandate, in which case the appointment shall count as one term.
- 9. The Technology Executive Committee, in performing its functions, should draw upon outside expertise, including the UNFCCC roster of experts and the Climate

Technology Centre and Network, to provide advice, including as expert advisers at its meetings.

- 10. The Technology Executive Committee should seek input from intergovernmental and international organizations and the private sector and may seek input from civil society in undertaking its work. It may invite advisers drawn from relevant intergovernmental and international organizations as well as the private sector and civil society to participate in its meetings as expert advisers on specific issues as they arise.
- 11. The meetings of the Technology Executive Committee shall be open to attendance by accredited observer organizations, except where otherwise decided by the Technology Executive Committee.
- 12. The secretariat shall support and facilitate the work of the Technology Executive Committee.

9 th plenary meeting
10–11 December 2010





Framework Convention on Climate Change

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Conference of the Parties

Report of the Conference of the Parties on its sixteenth session, held in Cancun from 29 November to 10 December 2010

Addendum

Part Two: Action taken by the Conference of the Parties at its sixteenth session

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Decision 2/CP.16

Fourth review of the financial mechanism

The Conference of the Parties,

Recalling Article 4, paragraphs 3, 4, 5, 8, and 9, of the Convention,

Taking fully into account Article 11 of the Convention, in particular its paragraph 1,

Also recalling decisions 11/CP.1, 12/CP.2, 3/CP.4, 7/CP.7, 6/CP.13 and 3/CP.14,

Pursuant to Article 7, paragraph 2(h), of the Convention,

Noting that multilateral and bilateral agencies have scaled up financial resources related to the implementation of the Convention,

Also noting the annual report of the Global Environment Facility to the Conference of the Parties,

Taking note of the completion of the fifth replenishment of the Global Environment Facility that took place in Punta Del Este from 24 to 28 May 2010,

Further noting the report¹ on the Fourth Overall Performance Study of the Global Environment Facility,

- 1. *Takes note* of the findings of the Fourth Overall Performance Study, which was completed prior to the fifth replenishment, that:
- (a) The Global Environment Facility support continues to be in line with guidance from the Conference of the Parties;
- (b) Although developed country donors have provided new and additional funding for global environmental benefits to developing countries, this has been insufficient to cover the increasing agenda of the Global Environment Facility as agreed upon in the conventions;
- (c) The Global Environment Facility support has been crucial in enabling countries to integrate climate change into their national development agendas;
- (d) The Global Environment Facility support has assisted developing countries in introducing policies to address climate change and reduce and avoid greenhouse gas emissions;
- (e) The Resource Allocation Framework has hindered the access of group countries to the Global Environment Facility, particularly in relation to climate change, which may explain some of the discontent of the climate change community with the Global Environment Facility;
- (f) The Global Environment Facility reporting requirements to the conventions have generally been met, yet certain aspects require improvement;
- (g) The move of the Global Environment Facility towards country-level programming has increased country ownership to some extent, but the current modalities for resource allocation require improvement;

Global Environment Facility Evaluation Office. 2009. Fourth Overall Performance Study of the GEF: Progress Toward Impact. Full report.

- (h) There is scope to further simplify and streamline the Global Environment Facility procedures, particularly the project identification phase, and improve timeliness throughout the project cycle;
- (i) The Global Environment Facility needs a knowledge management strategy to improve learning and the sharing of best practices;
- (j) The Global Environment Facility has played an important role in scaling up resources to address climate change;
- 2. Welcomes the successful negotiations of the fifth replenishment of the Global Environment Facility and notes that this is the largest increase in the climate change focal area since the Global Environment Facility was established, noting the increasing mitigation and adaptation needs of developing countries to be taken into account within the context of the Global Environment Facility;
- 3. *Decides* that the Global Environment Facility has provided and should continue to enhance its support to developing countries in:
 - (a) Meeting their commitments under the Convention;
 - (b) Strengthening national capacity-building;
 - (c) Applying and diffusing technologies, practices and processes for mitigation;
- 4. *Requests* the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including:
 - (a) Being responsive to new guidance from the Conference of the Parties;
- (b) Including in its reporting to the Conference of the Parties a critical assessment of its experience with implementation of projects, as well as its experience with incorporating guidance from the Conference of the Parties into its strategies and programme priorities;
- (c) Enhancing modalities which reinforce country ownership and improve the allocation of resources:
- (d) Further simplifying and improving its procedures, particularly those for the identification, preparation and approval of activities;
 - (e) Ensuring that access to resources is expeditious and timely;
 - (f) Enabling country-level programming, where appropriate;
 - (g) Ensuring consistency and complementarity with other financing activities;
- (h) Promoting private-sector financing and investment to address climate change activities;
 - (i) Strengthening its knowledge management approach to share best practices;
- 5. Decides that the Global Environment Facility should continue to provide and enhance support for the implementation of adaptation activities, including the implementation of national adaptation programmes of action, through the Least Developed Countries Fund and the Special Climate Change Fund;
- 6. Requests the Global Environment Facility, in its regular report to the Conference of the Parties, to include information on the steps it has taken to implement the guidance provided in paragraphs 3, 4 and 5 above;
- 7. *Invites* Parties to submit to the secretariat annually, no later than 10 weeks prior to the subsequent session of the Conference of the Parties, their views and recommendations

in writing on elements to be taken into account in developing guidance to the Global Environment Facility;

8. Requests the Subsidiary Body for Implementation to initiate the fifth review of the financial mechanism at its thirty-seventh session in accordance with the criteria contained in the guidelines annexed to decisions 3/CP.4 and 6/CP.13, or as these guidelines may be subsequently amended, and to report on the outcome to the Conference of the Parties at its nineteenth session.

9th plenary meeting 10–11 December 2010

Decision 3/CP.16

Additional guidance to the Global Environment Facility

The Conference of the Parties,

Noting the reports of the Global Environment Facility to the Conference of the Parties.¹

Recalling decision 12/CP.2,

Further noting the reform of the Global Environment Facility designed to improve its modalities to increase the responsiveness, effectiveness and efficiency of the support given to all developing countries, including the System for Transparent Allocation of Resources,

- 1. Calls on the Global Environment Facility to complete its reforms as early as possible in order to facilitate the successful implementation of the fifth replenishment cycle of the Global Environment Facility;
- 2. Requests the Global Environment Facility in the implementation of these reforms to give full information to countries, in particular in relation to the implications of these reforms on the activities conducted by the Global Environment Facility;
- 3. *Urges* the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for activities related to Article 6 of the Convention;
- 4. *Requests* the Global Environment Facility:
- (a) To continue to provide funds for technical support for the preparation of national communications of Parties not included in Annex I to the Convention (non-Annex I Parties), similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their national communications;
- (b) To ensure that the expedited process under the operational procedures continues to provide timely disbursement of funds to non-Annex I Parties for the preparation of their national communications;
- (c) To work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations, and to avoid gaps between enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle;
- (d) To finalize any remaining operational procedures to ensure the timely disbursement of funds for those Parties that decide to access resources for the preparation of their national communications through direct access;

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¹ FCCC/CP/2009/9 and FCCC/CP/2010/5.

(e) To provide detailed information on funding for projects that have been identified in the national communications of non-Annex I Parties in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved.

Decision 4/CP.16

Assessment of the Special Climate Change Fund

The Conference of the Parties,

Recalling the relevant provisions of Articles 4 and 11 of the Convention,

Also recalling decisions 4/CP.7, 5/CP.7, 7/CP.7, 7/CP.8 and 5/CP.9,

Expressing its appreciation to Parties included in Annex II to the Convention that contributed to the Special Climate Change Fund to support the activities relating to adaptation and technology transfer,

Noting the information on the Special Climate Change Fund provided through the annual reports of the Global Environment Facility to the Conference of the Parties,

Decides to conclude the assessment of the status of implementation of paragraph 2 of decision 1/CP.12 and to request the entity entrusted with the operation of the Special Climate Change Fund to include in its report to the Conference of the Parties at its seventeenth session information on the implementation of paragraph 2 (a–d) of decision 7/CP.7.

Decision 5/CP.16

Further guidance for the operation of the Least Developed Countries Fund

The Conference of the Parties,

Recalling Article 4, paragraph 9, of the Convention,

Also recalling decisions 6/CP.9, 3/CP.11 and 5/CP.14,

Further recalling the least developed countries work programme, as defined in decision 5/CP.7,

Noting the importance of updating and revising the national adaptation programme of action process over time,

Further noting with appreciation the contributions of some Parties to the Least Developed Countries Fund,

Noting the positive efforts made by the Global Environment Facility and its agencies to facilitate access to funding under the Least Developed Countries Fund,

Also noting the increasing need of least developed country Parties to implement the urgent and immediate adaptation activities identified in their national adaptation programmes of action,

Reiterating the need to implement national adaptation programmes of action as soon as possible after completion,

- 1. *Reiterates* its request to the Global Environment Facility, as an operating entity of the financial mechanism of the Convention operating the Least Developed Countries Fund, in parallel to supporting the ongoing implementation of national adaptation programmes of action, to facilitate the implementation of the remaining elements of the least developed countries work programme;
- 2. Also reiterates its request to the Global Environment Facility to work with its agencies to improve communication with least developed country Parties and to speed up the process by, for instance, establishing a time frame within which least developed country Parties can access funding and other support for the preparation and implementation of projects identified in their national adaptation programmes of action;
- 3. Requests the Global Environment Facility to provide funding from the Least Developed Countries Fund to least developed country Parties, upon request, to enable the update of their national adaptation programmes of action with a view to further improving their quality, to facilitate the integration of least developed countries adaptation actions into development planning and to reflect increased adaptation knowledge and changed priorities in the countries;
- 4. *Invites* Parties included in Annex II to the Convention to continue contributing, and other Parties in a position to do so to contribute, to the Least Developed Countries Fund for the implementation of the least developed countries work programme;
- 5. Also invites Parties and relevant organizations to submit to the secretariat, by 1 August 2012, information on their experience with the implementation of the least developed countries work programme, including the updating and implementation of national adaptation programmes of action, and in accessing funds from the Least Developed

Countries Fund, for compilation by the secretariat into a miscellaneous document for consideration by the Subsidiary Body for Implementation at its thirty-seventh session;

- 6. Requests the secretariat to prepare a synthesis report on the progress made in the implementation of the least developed countries work programme, including the updating and implementation of national adaptation programmes of action, taking into account information from the Global Environment Facility and its agencies, the submissions referred to in paragraph 5 above, reports of the Least Developed Countries Expert Group and other relevant sources of information, for consideration by the Subsidiary Body for Implementation at its thirty-seventh session;
- 7. Also requests the Subsidiary Body for Implementation to review, at its thirty-seventh session, the experiences of the least developed countries with the implementation of the least developed countries work programme, including the updating and implementation of national adaptation programmes of action, and in accessing funds from the Least Developed Countries Fund, on the basis of the submissions referred to in paragraph 5 above and the synthesis report referred to in paragraph 6 above;
- 8. Further requests the Global Environment Facility to include, in its reports to the Conference of the Parties, information on specific steps it has taken to implement this decision, for consideration by the Conference of Parties at its subsequent sessions;
- 9. *Decides* to assess progress made in the implementation of this decision and to consider the adoption of further guidance, as appropriate, at its eighteenth session.

Decision 6/CP.16

Extension of the mandate of the Least Developed Countries Expert Group

The Conference of the Parties,

Recalling decisions 5/CP.7, 29/CP.7, 7/CP.9, 4/CP.10, 4/CP.11 and 8/CP.13,

Recognizing the specific needs and special situation of the least developed countries under Article 4, paragraph 9, of the Convention,

Having considered the reports on the seventeenth and eighteenth meetings of the Least Developed Countries Expert Group, the report on possible elements for a future mandate for the group and the report on the training workshops on the implementation of national adaptation programmes of action,¹

Expressing its appreciation to the Least Developed Countries Expert Group for its good work in implementing its work programme for 2008–2010, supporting the preparation and implementation of national adaptation programmes of action and conducting regional training workshops on the implementation of national adaptation programmes of action,

Noting that the least developed country Parties continue to require technical support for the preparation, update and implementation of their national adaptation programmes of action,

- 1. *Decides* to extend the mandate of the Least Developed Countries Expert Group under its current terms of reference;²
- 2. *Also decides* that the Least Developed Countries Expert Group should be mandated to provide technical guidance and advice on:
- (a) The revision and update of national adaptation programmes of action, to further improve their quality, to facilitate the integration of adaptation actions of least developed country Parties into development planning and to reflect increased adaptation knowledge and changed priorities in the countries, upon request by least developed country Parties:
- (b) The identification of medium- and long-term adaptation needs, their integration into development planning and the implementation of identified adaptation activities;
- (c) Strengthening gender-related considerations and considerations regarding vulnerable communities within least developed country Parties;
- (d) The implementation of the elements of the least developed countries work programme other than the preparation and implementation of national adaptation programmes of action that are relevant to the expertise of the Least Developed Countries Expert Group;
- 3. Requests the Least Developed Countries Expert Group to develop a two-year rolling programme of work for consideration by the Subsidiary Body for Implementation at its first sessional meeting of each year, and to report on its work to the Subsidiary Body for Implementation at each of its sessions;

¹ FCCC/SBI/2010/5, FCCC/SBI/2010/26, FCCC/SBI/2010/12 and FCCC/SBI/2010/15.

² Decisions 29/CP.7, 7/CP.9, 4/CP.11 and 8/CP.13.

- 4. *Decides* that the membership of the Least Developed Countries Expert Group should be expanded from 12 to 13 members in order to include one additional member from a least developed country Party;
- 5. *Requests* the Least Developed Countries Expert Group to engage a wide range of organizations to support the implementation of its work programme;
- 6. *Decides* that, consistent with decision 7/CP.9, paragraph 2, new experts may be nominated to the Least Developed Countries Expert Group, or existing members of the group may continue in office, as determined by the respective regions or groups;
- 7. *Requests* the secretariat to continue to facilitate the work of the Least Developed Countries Expert Group;
- 8. *Decides* to review, at its twenty-first session, the progress, need for continuation and terms of reference of the Least Developed Countries Expert Group, and to adopt a decision thereon;
- 9. Also decides on the following actions and steps necessary for the Subsidiary Body for Implementation to initiate the review at its forty-second session, with a view to complete the review referred to in paragraph 8 above at its twenty-first session:
- (a) To request the Least Developed Countries Expert Group to convene a meeting, including Parties, the Global Environment Facility and its agencies, and other relevant organizations, with the assistance of the secretariat, to take stock of its work, before June 2015;
- (b) To invite Parties to submit to the secretariat, by 1 February 2015, their views on the work of the Least Developed Countries Expert Group, for compilation by the secretariat into a miscellaneous document for consideration by the Subsidiary Body for Implementation at its forty-second session;
- (c) To request the secretariat to prepare a report on the stocktaking meeting for consideration by the Subsidiary Body for Implementation at its forty-second session, as input to the review;
- (d) To request the secretariat to prepare a synthesis report on the progress, need for continuation and terms of reference of the Least Developed Countries Expert Group, based on the submissions from Parties, reports of the Least Developed Countries Expert Group, the report on the stocktaking meeting and other relevant information, for consideration by the Subsidiary Body for Implementation at its forty-second session, as input to the review.

Decision 7/CP.16

Progress in, and ways to enhance, the implementation of the amended New Delhi work programme on Article 6 of the Convention

The Conference of the Parties,

Recalling Article 6 of the Convention,

Also recalling decision 9/CP.13,

Reaffirming the importance of Article 6 of the Convention and the continued relevance of the amended New Delhi work programme on Article 6 of the Convention,

Acknowledging the progress made by Parties in planning, coordinating and implementing education, training and public awareness activities,

Recognizing that ensuring the availability of sufficient financial and technical resources continues to be a challenge for the adequate implementation of Article 6 of the Convention for all Parties, in particular developing countries,

Reaffirming that national, regional and subregional workshops are valuable forums for sharing experiences and lessons learned and for advancing the implementation of Article 6 of the Convention,

Welcoming the contributions of the Governments of Australia, Belgium, New Zealand, Norway, Spain, Sweden, Switzerland and the United States of America in support of the regional and subregional workshops organized by the secretariat in 2009 and 2010,¹

Reaffirming that the information network clearing house CC:iNet is a useful tool for promoting the implementation of Article 6 of the Convention,

Having considered submissions from Parties and relevant intergovernmental and non-governmental organizations² and documents prepared by the secretariat to support the intermediate review of the amended New Delhi work programme,³

1. Recognizes that:

- (a) Parties have continued to progress and gain experience in implementing Article 6 of the Convention, including through the wide range of educational and outreach activities that they have undertaken;
- (b) Article 6 related activities have been a component of a significant number of projects developed by intergovernmental, non-governmental and community-based organizations, and private- and public-sector actors;

The European regional workshop on Article 6 of the Convention, 18–20 May 2009, Stockholm, Sweden; the regional workshop on the implementation of Article 6 in Asia and the Pacific, 14–16 October 2009, Bali, Indonesia; the regional workshop on the implementation of Article 6 in Latin America and the Caribbean, 27–30 April 2010, Bavaro, the Dominican Republic; the regional workshop on the implementation of Article 6 in Africa, 13–16 September 2010, Banjul, the Gambia; and the regional workshop on the implementation of Article 6 in small island developing States, 2–4 November 2010, Mahé, Seychelles.

FCCC/SBI/2010/MISC.7.

FCCC/SBI/2010/2, FCCC/SBI/2010/3, FCCC/SBI/2010/19, FCCC/SBI/2010/22, FCCC/SBI/2010/23 and FCCC/SBI/2010/24.

- (c) Notwithstanding the progress made with respect to the implementation of the amended New Delhi work programme on Article 6 of the Convention, many challenges remain;
- 2. *Invites* Parties, with a view to enhancing the implementation of the amended New Delhi work programme:
- (a) To designate a national focal point on Article 6, if Parties have not already done so, and to inform the secretariat accordingly;
- (b) To foster networking, coordination and exchange of information between relevant stakeholders at the national, regional and international levels;
- (c) To enhance efforts to elaborate national strategies and action plans on Article 6 of the Convention, including climate change communication strategies, taking into account, inter alia, the gender perspective;
- (d) To enhance the involvement of, and create training opportunities for, groups with a key role in climate change communication and education, including journalists, teachers, youth, children and community leaders;
- (e) To foster the participation of women, youth, indigenous peoples, civil society groups and relevant stakeholders in decision-making on climate change at the national level and their attendance at intergovernmental meetings, including sessions of the Conference of the Parties, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol and the subsidiary bodies;
- (f) To improve reporting on education, training and public awareness activities through national communications;
- (g) To improve public access to information on, and public awareness of, adaptation and mitigation;
- (h) To support formal education in schools and institutions at all levels, nonformal and informal education on climate change and the development of educational and public awareness materials according to national circumstances and cultural context;
- 3. Also invites all Parties and international organizations to enhance support to the national focal points on Article 6 of developing countries, in particular the least developed countries and small island developing States, through the provision of information, materials, training of trainers programmes and regional and national projects on topics relating to education, training and public awareness;
- 4. Further invites Parties in a position to do so and international organizations and bilateral and multilateral agencies to continue to support the convening of regional, subregional and national workshops focusing on specific elements of Article 6 of the Convention, and the maintenance and further development of the information network clearing house CC:iNet;
- 5. *Urges* the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for Article 6 related activities;
- 6. Encourages intergovernmental and non-governmental organizations to enhance their efforts to respond to the amended New Delhi work programme and to share information on their respective activities through the information network clearing house CC:iNet and other information sources;

7. *Requests* the secretariat:

- (a) To initiate and facilitate networking and exchange of information and good practices between national focal points on Article 6, including through the information network clearing house CC:iNet;
- (b) To continue collaborating with international organizations, convention secretariats and the private sector with a view to catalysing action on education, information exchange, training and public awareness;
- (c) To continue, subject to the availability of financial resources, its work on maintaining, developing and promoting the information network clearing house CC:iNet, by improving its functionality and accessibility and increasing the content in the official languages of the United Nations;
- 8. Also requests the Subsidiary Body for Implementation to develop, at its thirty-fourth session, terms of reference for a review of the implementation of the amended New Delhi work programme, with a view to launching the review at its thirty-sixth session.

Decision 8/CP.16

Continuation of activities implemented jointly under the pilot phase

The Conference of the Parties,

Recalling decisions 5/CP.1, 10/CP.3, 13/CP.5, 8/CP.7, 14/CP.8, 10/CP.10, 6/CP.12, and 7/CP.14,

Having considered the conclusions of the Subsidiary Body for Scientific and Technological Advice at its thirty-third session,

Acknowledging that activities implemented jointly under the pilot phase have been providing an opportunity for learning-by-doing and that a number of Parties are maintaining programmes on activities implemented jointly under the pilot phase,

Noting that reports on activities implemented jointly under the pilot phase may be submitted at any time and are available on the UNFCCC website,

- 1. Decides to continue the pilot phase for activities implemented jointly;
- 2. *Also decides* that the deadline for the submission of reports on activities implemented jointly under the pilot phase to be considered in the eighth synthesis report on such activities shall be 1 June 2012.

Decision 9/CP.16

National communications from Parties included in Annex I to the Convention

The Conference of the Parties,

Recalling Article 4, paragraph 2(a) and (b), Article 12 and other relevant provisions of the Convention,

Also recalling decisions 2/CP.1, 3/CP.1, 6/CP.3, 11/CP.4, 4/CP.5, 26/CP.7, 33/CP.7, 4/CP.8, 1/CP.9, 7/CP.11 and 10/CP.13,

Emphasizing that the national communications and annual greenhouse gas inventories submitted by Parties included in Annex I to the Convention are the main source of information for reviewing the implementation of the Convention by these Parties, and that the reports of the in-depth reviews of these national communications provide important additional information for this purpose,

- 1. Acknowledges the considerable improvement in timeliness of the submission of national communications from Parties included in Annex I to the Convention (Annex I Parties), with 16 Annex I Parties submitting their fifth national communications before the due date of submission in accordance with decision 10/CP.13, although 23 Annex I Parties submitted after that date and two Annex I Parties have yet to submit their fifth national communications;
- 2. *Urges* Annex I Parties that have not yet submitted their national communications in accordance with decision 10/CP.13 to do so as a matter of priority;
- 3. Requests the secretariat to prepare the compilation and synthesis of fifth national communications for consideration by the Conference of the Parties at its seventeenth session;
- 4. Concludes that the review of national communications and the consideration of the outcomes of this review have proved useful and should continue in accordance with decisions 2/CP.1, 6/CP.3 and 11/CP.4;
- 5. Requests Annex I Parties to submit to the secretariat, by 1 January 2014, a sixth national communication, in accordance with Article 12, paragraphs 1 and 2, of the Convention, with a view to submitting a seventh national communication no later than four years after this date.

Decision 10/CP.16

Capacity-building under the Convention for developing countries

The Conference of the Parties,

Recalling decision 8/CP.15,

- 1. Requests the Subsidiary Body for Implementation to continue its consideration of the second comprehensive review of the implementation of the framework for capacity-building in developing countries at its thirty-fourth session on the basis of the draft text contained in the annex to this decision, with a view to preparing a draft decision on the outcome of this review for adoption by the Conference of Parties at its seventeenth session;
- 2. *Decides* to complete the consideration of the second comprehensive review at its seventeenth session.

Annex

[English only]

Draft decision -/CP.16

Capacity-building under the Convention for developing countries

[The Conference of the Parties,

Recalling decisions 2/CP.7, 2/CP.10, 4/CP.12, 6/CP.14 and 8/CP.15,

Acknowledging that capacity-building for developing countries is essential to enable them to participate fully in, and implement effectively their commitments under, the Convention.

Reaffirming that decision 2/CP.7 remains effective and should continue to guide the implementation of capacity-building activities in developing countries,

Noting that a range of the priority issues identified in the framework for capacity-building in developing countries is being supported by Parties included in Annex II of the Convention, the Global Environment Facility and other multilateral, bilateral and international agencies, [the private sector] and intergovernmental and non-governmental organizations,

[Also noting that gaps still remain and the availability of and access to financial and technical resources is still an issue to be addressed, in order to progress qualitatively and quantitatively on the capacity-building implementation,]

[Acknowledging that capacity-building is a country-driven and learning-by-doing process that responds to the specific needs and priorities of the countries concerned,

Having considered the information in documents prepared by the secretariat in support of the second comprehensive review of the implementation of the framework for capacity-building in developing countries and submissions by Parties on the issue,¹]

- 1. Decides that the scope of needs and priority areas identified in the framework for capacity-building in developing countries, as contained in decision 2/CP.7, and the key factors identified in decision 2/CP.10 are still relevant;
- 2. Further decides that new capacity-building needs and priorities in developing countries emerging from the processes and initiatives launched after the completion of the first comprehensive review as well as from the negotiations under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention will need to be taken into account in the further implementation of the framework for capacity-building in developing countries;
- 3. Also decides that further implementation of the framework for capacity-building in developing countries should be improved at the systemic, institutional and individual levels as appropriate, by:
- (a) Ensuring consultations with stakeholders throughout the entire process of activities, from the design of activities to their implementation and monitoring and evaluation;

FCCC/SBI/2009/MISC.1, FCCC/SBI/2009/MISC.2, FCCC/SBI/2009/MISC.8, FCCC/SBI/2009/MISC.12/Rev.1, FCCC/SBI/2009/4, FCCC/SBI/2009/5 and FCCC/SBI/2009/10.

- (b) Enhancing integration of climate change issues and capacity-building needs into national development strategies, plans and budgets;
 - (c) Increased country-driven coordination of capacity-building activities;
- (d) Strengthened networking and information sharing among developing countries, especially through South-South and triangular cooperation;
- (e) [Building on existing skills and capacities [, where available,] [, as appropriate,] related to development [and implementation of capacity-building activities] [and delivery of reporting, including national communications [and inventories]];
- (e bis) Developing and/or strengthening skills and capacities related to the implementation of climate change related activities;]
 - (f) [Strengthening local, national and regional research institutions;]
- [4. Decides to establish an expert group on capacity-building with the terms of reference contained in the annex to this decision;]
- [5. Further decides that the next and subsequent comprehensive reviews of the framework for capacity-building in developing countries will be undertaken using simple[, practical and cost-effective] [and effective] performance indicators developed by the expert group referred to in paragraph 7 above;]
- [6. Requests the secretariat to improve the process for regularly gathering and disseminating information on capacity-building activities in developing countries, recognizing the usefulness of information on capacity-building deriving from the compilation and synthesis of national communications, annual submissions by Parties and other documents relevant to this effort, in collaboration with the Global Environment Facility and its agencies and bilateral and multilateral agencies, as appropriate;]
- 7. *Invites* Parties to enhance reporting on best practices related to capacity-building in their national communications, submissions and other relevant documents, with a view to furthering learning and broadening the impact of capacity-building activities;
- 8. [Requests] [Reiterates the request to] the Global Environment Facility, as an operating entity of the financial mechanism, to [increase] [continue to provide financial] [its] support to capacity-building activities in developing countries in accordance with decisions 2/CP.7 and 4/CP.9;
- 9. *Urges* Parties included in Annex II to the Convention and other Parties that are in a position to do so, multilateral, bilateral and international agencies and the private sector to continue providing financial resources to support capacity-building action in developing countries;
- 10. *Invites* relevant United Nations agencies and intergovernmental organizations to continue providing support for capacity-building efforts in developing countries, emphasizing and stressing the need for full involvement of developing countries in the conception and development of such activities;
- 11. *Requests* the Subsidiary Body of Implementation, at its fortieth session, to initiate a third comprehensive review of the implementation of the framework for capacity-building in developing countries, with a view to completing the review at the twenty-first session of the Conference of the Parties.]

Decision 11/CP.16

Administrative, financial and institutional matters

The Conference of the Parties,

Recalling decision 12/CP.15, which approved the programme budget for the biennium 2010–2011 and requested the Executive Secretary to report to the Conference of the Parties at its sixteenth session on income and budget performance, and to propose any adjustments that might be needed in the programme budget for the biennium 2010–2011,

Also recalling paragraph 11 of the financial procedures of the Conference of the Parties, 1

Having considered the information in the documents prepared by the secretariat on administrative, financial and institutional matters,²

I. Audited financial statements for the biennium 2008–2009

- 1. Takes note of the audited financial statements for the biennium 2008–2009, the audit report of the United Nations Board of Auditors, which includes recommendations, and the comments of the secretariat thereon;
- 2. Expresses its appreciation to the United Nations for arranging the audits of the accounts of the Convention and for the valuable observations and recommendations of the auditors;
- 3. *Urges* the Executive Secretary to implement the recommendations of the auditors, as appropriate;

II. Budget performance for the biennium 2010–2011

- 4. Takes note of the reporting on budget performance for the biennium 2010–2011 as at 30 June 2010 and of the updated status of contributions as at 15 November 2010 to the trust funds administered by the secretariat;
- 5. Expresses its appreciation to Parties that have paid their contributions to the core budget in a timely manner;
- 6. Calls upon Parties that have not paid their contributions to the core budget to do so without delay, bearing in mind that contributions are due on 1 January of each year in accordance with the financial procedures of the Conference of the Parties;
- 7. Expresses its appreciation for the contributions received from Parties to the Trust Fund for Participation in the UNFCCC Process and to the Trust Fund for Supplementary Activities, especially for the generous contributions for the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention;

¹ Decision 15/CP.1, annex I.

FCCC/SBI/2010/13, FCCC/SBI/2010/14 and Add.1 and 2, FCCC/SBI/2010/INF.5/Rev.1 and FCCC/SBI/2010/INF.9.

- 8. *Urges* Parties to further contribute to the Trust Fund for Participation in the UNFCCC Process to ensure the widest possible participation in the negotiations in 2011, and to the Trust Fund for Supplementary Activities;
- 9. Reiterates its appreciation to the Government of Germany for its annual voluntary contribution to the core budget of EUR 766,938 and its special contribution of EUR 1,789,522 as Host Government to the secretariat in Bonn;

III. Continuing review of the functions and operations of the secretariat

- 10. *Notes* the information relating to the functions and operations of the secretariat as contained in relevant documents, particularly that contained in document FCCC/SBI/2009/11;
- 11. Agrees that the Subsidiary Body for Implementation should consider this matter at its thirty-fifth session, in keeping with its decision taken at its twenty-first session to continue to consider this matter annually;³

IV. Programme budget for the biennium 2012–2013

- 12. *Requests* the Executive Secretary to submit, for consideration by the Subsidiary Body for Implementation at its thirty-fourth session, a proposed programme budget for the biennium 2012–2013;
- 13. Also requests the Executive Secretary, when preparing the programme budget for the biennium 2012–2013, to prepare a contingency for funding conference services, should this prove necessary in the light of decisions taken by the General Assembly at its sixty-sixth session;
- 14. *Requests* the Subsidiary Body for Implementation to recommend, at its thirty-fourth session, a programme budget for adoption by the Conference of the Parties at its seventeenth session and by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its seventh session;
- 15. Also requests the Subsidiary Body for Implementation to authorize the Executive Secretary to notify Parties of their indicative contributions for 2012 on the basis of the recommended budget.

9th plenary meeting 10–11 December 2010

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³ FCCC/SBI/2004/19, paragraph 105.

Decision 12/CP.16

Dates and venues of future sessions

The Conference of the Parties,

Recalling Article 7, paragraph 4, of the Convention,

Also recalling decision 9/CP.14,

Further recalling United Nations General Assembly resolution 40/243 of 18 December 1985 on the pattern of conferences,

Recalling rule 22, paragraph 1, of the draft rules of procedure being applied, regarding the rotation of the office of President among the five regional groups,

Noting that in keeping with the principle of rotation among regional groups, and in the light of recent consultations among the groups, the President of the seventeenth session of the Conference of the Parties would come from the African Group, the President of the eighteenth session would come from the Asian Group and the President of the nineteenth session would come from the Eastern European Group,

A. Date and venue of the seventeenth session of the Conference of the Parties and the seventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

- 1. *Recalls* that the seventeenth session of the Conference of the Parties and the seventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol shall be held in Durban, South Africa, from 28 November to 9 December 2011;
- 2. Reiterates its request to the Executive Secretary to continue consultations with the Government of South Africa and to negotiate a Host Country Agreement for convening the sessions, with a view to concluding and signing the Host Country Agreement not later than the thirty-fourth sessions of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation;

B. Date and venue of the eighteenth session of the Conference of the Parties and the eighth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

- 3. *Takes note* of the offers of the Governments of Qatar and the Republic of Korea to host the eighteenth session of the Conference of the Parties and the eighth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;
- 4. *Invites* Parties to consult further on the host of the eighteenth session of the Conference of the Parties and the eighth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, with a view to concluding these consultations not later than the thirty-fourth session of the Subsidiary Body for Implementation;
- 5. Requests the Subsidiary Body for Implementation, at its thirty-fourth session, to consider the issue of the host of the eighteenth session of the Conference of the Parties and the eighth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, taking into account the offers and consultations referred to in paragraphs 3 and 4 above, and to recommend a draft decision on this matter for adoption by the Conference of the Parties at its seventeenth session:

- C. Date and venue of the nineteenth session of the Conference of the Parties and the ninth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
- 6. *Invites* Parties to come forward with offers to host the nineteenth session of the Conference of the Parties and the ninth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol.

Resolution 1/CP.16

Expression of gratitude to the Government of the United Mexican States, the State of Quintana Roo and the people of the city of Cancun

The Conference of the Parties and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Having met in Cancun from 29 November to 10 December 2010 at the invitation of the Government of the United Mexican States,

- 1. Express their profound gratitude to the Government of the United Mexican States for having made it possible for the sixteenth session of the Conference of the Parties and the sixth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol to be held in Cancun;
- 2. Request the Government of the United Mexican States to convey to the State of Quintana Roo and the people of Cancun the gratitude of the Conference of the Parties and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol for the hospitality and warmth extended to the participants.